

Arithmetic topological quantum field theory?

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New York, September, 2017

Plan

1. Schemes
2. Arithmetic Geometry
3. Fundamental Groups
4. Arithmetic Topology
5. Moduli Spaces
6. Arithmetic Chern-Simons Theory
7. Arithmetic Intersection Numbers

Schemes

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Recall that scheme theory associates to any ring A a space

$$\mathrm{Spec}(A)$$

whose underlying set is the set of prime ideals in A , with the interpretation that A is the ring of functions on $\mathrm{Spec}(A)$:

An element $a \in A$ is the function

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Each $a \in A$ determines a zero set $Z(a)$ consisting of P such that $a \in P$. This is a basic closed set in the Zariski topology on $\mathrm{Spec}(A)$. Its complement is a basic open set

$$U_a = \mathrm{Spec}(A[1/a]) \subset \mathrm{Spec}(A)$$

consisting of prime ideals that do not contain a .

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$$\mathrm{Spec}(\mathbb{Z}) = \{(0)\} \cup \{2, 3, 5, 7, 11, \dots, 37, \dots, 691, \dots, 1112707, \dots\}$$

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$$\mathrm{Spec}(\mathbb{Q}[x]) = \{(0)\} \cup \{\text{irreducible polynomials}\}/\mathbb{Q}^\times$$

(4) If F is an algebraic number field, and \mathcal{O}_F its ring of algebraic integers then determining the structure of $\mathrm{Spec}(\mathcal{O}_F)$ is a large part of a course on algebraic number theory.

Schemes

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A general scheme is a pair

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where X is a topological space, \mathcal{O}_X is a sheaf of rings on X , and the pair is locally isomorphic to $(\operatorname{Spec}(A), \mathcal{O}_{\operatorname{Spec}(A)})$.

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A map

$$\operatorname{Spec}(B) \longrightarrow \operatorname{Spec}(A)$$

is induced by a ring homomorphism $A \longrightarrow B$, and all maps between schemes are locally of this form.

Schemes

Let $x \in X$. Then

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so that x corresponds to a prime ideal $P_x \subset A$. The ring A/P_x is an integral domain with field of fractions denoted $k(x)$.

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The point x is closed if and only if P_x is a maximal ideal. Denote by

$$X_0$$

the set of closed points.

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The objects of interest are finite unions of affine schemes of the form

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The inclusion

$$\mathbb{Z} \longrightarrow \mathbb{Z}[x_1, x_2, \dots, x_n]/(f_1, f_2, \dots, f_m)$$

induces a map

$$\mathrm{Spec}(\mathbb{Z}[x_1, x_2, \dots, x_n]/(f_1, f_2, \dots, f_m)) \longrightarrow \mathrm{Spec}(\mathbb{Z})$$

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To get a map

$$\mathrm{Spec}(\mathbb{Z}) \longrightarrow \mathrm{Spec}(\mathbb{Z}[x_1, x_2, \dots, x_n]/(f_1, f_2, \dots, f_m))$$

we need a ring homomorphism

$$\mathbb{Z}[x_1, x_2, \dots, x_n]/(f_1, f_2, \dots, f_m) \longrightarrow \mathbb{Z},$$

which corresponds to an integral solution to the equations

$$f_1 = 0, f_2 = 0, \dots, f_m = 0.$$

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If X is an arithmetic scheme and $x \in X_0$ a closed point, then $k(x)$ is finite and one studies quantities like

$$\zeta(X, s) := \prod_{x \in X_0} \frac{1}{1 - |k(x)|^{-s}}.$$

Fundamental groups

Fundamental groups

A finite étale map $Y \longrightarrow X$ is (essentially) one that is locally of the form

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where the discriminant $\Delta(f) \in A$ is a unit.

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Example:

For the map

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However,

$$\mathrm{Spec}(\mathbb{C}[x, x^{-1}][y]/(y^n - x)) \longrightarrow \mathrm{Spec}(\mathbb{C}[x, x^{-1}])$$

is étale.

Fundamental groups

Similarly,

$$\mathrm{Spec}(\mathbb{F}_p[x, x^{-1}][y]/(y^n - x)) \longrightarrow \mathrm{Spec}(\mathbb{F}_p[x, x^{-1}])$$

is étale if $p \nmid n$.

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But

$$\mathrm{Spec}(\mathbb{Z}[1/46][x]/(x^2 + 23)) \longrightarrow \mathrm{Spec}(\mathbb{Z}[1/46])$$

is étale.

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There is an equivalence of categories

$$\text{Finite étale maps } Y \longrightarrow X$$

$$\simeq \text{Finite sets with continuous } \pi_1(X)\text{-action.}$$

This depends only on general properties of the category of finite étale maps to X , in a manner similar to the Tannakian formalism for algebraic groups.

Fundamental Groups

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For example, if $x \in X$, then

$$\mathrm{Spec}(k(x)) \longrightarrow X,$$

so get

$$\pi_1(\mathrm{Spec}(k(x))) \longrightarrow \pi_1(X).$$

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X : a complex algebraic variety. Then $\pi_1(X)$ is the pro-finite completion of the topological π_1 :

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where N runs over normal finite index subgroups.

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X : a variety over $\bar{\mathbb{F}}_p$. Then the fundamental group is similar to fundamental groups over \mathbb{C} , except for the ‘ p -part.’

Fundamental Groups

$X = \operatorname{Spec}(F)$, where F is a field. Then

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But

$$\pi_1(\operatorname{Spec}(\mathcal{O}_F)) \simeq \varprojlim_K \operatorname{Gal}(K/F),$$

where K runs over the extensions of F that are unramified, i.e., such that $\operatorname{Spec}(\mathcal{O}_K) \longrightarrow \operatorname{Spec}(\mathcal{O}_F)$ is étale. It can be infinite and quite complicated.

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$X = \operatorname{Spec}(\mathcal{O}_F)$ for $F = \mathbb{Q}(\sqrt{1429})$, Then (assuming GRH)

$$\pi_1(X) \simeq PSL_2(\mathbb{F}_8) \times C_2.$$

Fundamental Groups

$X_S = \operatorname{Spec}(\mathcal{O}_F) \setminus S$, where S is a finite set of prime ideals in \mathcal{O}_F .
Consider K/F finite Galois extensions such that

$$\operatorname{Spec}(\mathcal{O}_K) \longrightarrow \operatorname{Spec}(\mathcal{O}_F)$$

is étale in the complement of S . Then

$$\pi_1(X_S) \simeq \varprojlim_K \operatorname{Gal}(K/F).$$

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This is from the map

$$\mathrm{Spec}(k(x)) \longrightarrow X,$$

functoriality

$$\pi_1(\mathrm{Spec}(k(x))) \longrightarrow \pi_1(X)$$

and the fact that

$$\pi_1(\mathrm{Spec}(k(x))) = \mathrm{Gal}(\overline{k(x)}/k(x)) = \langle Fr_x \rangle .$$

Arithmetic topology

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F : Algebraic number field.

\mathcal{O}_F : Ring of algebraic integers in F .

$v \in \text{Spec}(\mathcal{O}_F)$ corresponding to non-zero prime ideal $P_v \subset \mathcal{O}_F$.

$\mathcal{O}_v = \varprojlim_n \mathcal{O}_F/P_v^n$ completion of \mathcal{O}_F at v . So \mathcal{O}_v has maximal ideal m_v such that $m_v = (\pi_v)$ and $\mathcal{O}_v/m_v = k(v)$.

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Consider \mathbb{Q} , \mathbb{Z} , p , \mathbb{Z}_p , \mathbb{Q}_p .

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$$X_S = \mathrm{Spec}(\mathcal{O}_F) \setminus S$$

$$\sim [\text{3-manifold with boundary tori, one for each prime in } S]$$

Arithmetic Topology

$$\pi_1(X_S) \sim \pi_1(\text{3-manifold with boundary})$$

$$[\text{Gal}(\bar{F}_v/F_v) = \pi_1(\text{Spec}(F_v)), \quad v \in S] \sim \pi_1(\text{boundary tori})$$

$$X_S \sim \text{hyperbolic 3-manifold}$$

for S a sufficiently large finite set of primes.

Moduli Spaces

Moduli Spaces

Given an arithmetic scheme Y and a p -adic Lie group A , we will be interested in

$$M(Y, A) := \mathrm{Hom}(\pi_1(Y), A) // A,$$

the moduli space of continuous homomorphisms

$$\rho : \pi_1(Y) \longrightarrow A$$

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Key point of lecture:

Even though an arithmetic scheme is quite different from a manifold, $M(Y, A)$ is structurally similar to moduli spaces of bundles in geometry and physics.

Moduli Spaces

Local functions:

A point $x \in Y_0$ together with a representation V of A on a k -vector space defines a function

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Two global functions:

- (1) L -functions;
- (2) Chern-Simons functions.

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For $X = \operatorname{Spec}(\mathcal{O}_F)$, can define an *arithmetic Chern-Simons functional*

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Would like to compute

$$\int_{\rho \in M(X, A)} \exp \operatorname{Tr}_{x_1, V_1}(\rho) \cdots \exp \operatorname{Tr}_{x_m, V_m}(\rho) \exp(2\pi i \mathbb{CS}(\rho)) d\rho.$$

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At the moment, can define \mathbb{CS}_c , but can compute integrals only in the finite abelian case.

Arithmetic Chern-Simons Functionals (Finite Case)

Assume now that $n \geq 2$ and F is an algebraic number field such that $\exp(2\pi i/n^2) \in F$.

Let $X = \text{Spec}(\mathcal{O}_F)$.

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$$H^3(X, \mathbb{Z}/n) \simeq \frac{1}{n}\mathbb{Z}/\mathbb{Z}.$$

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We get the map

$$\text{inv} : H^3(\pi_1(X), \mathbb{Z}/n) \longrightarrow H^3(X, \mu_n) \simeq \frac{1}{n}\mathbb{Z}/\mathbb{Z}.$$

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$$\exp(2\pi i \text{CS}) : M(X, A) \longrightarrow S^1.$$

Arithmetic Chern-Simons Functionals (Finite Case)

Example:

Let $A = \mathbb{Z}/n$. Then

$$M(X, \mathbb{Z}/n) = \text{Hom}(Cl_X, \mathbb{Z}/n).$$

Take $c \in H^3(A, \mathbb{Z}/n)$ to be given as

$$a \cup \delta a,$$

where $a \in H^1(A, \mathbb{Z}/n)$ is the class coming from the identity map, while

$$\delta : H^1(A, \mathbb{Z}/n) \longrightarrow H^2(A, \mathbb{Z}/n)$$

is the Bockstein map coming from the extension

$$0 \longrightarrow \mathbb{Z}/n \longrightarrow \mathbb{Z}/n^2 \longrightarrow \mathbb{Z}/n \longrightarrow 0.$$

Then

$$\mathbb{CS}_{a \cup \delta a}(\rho) = \text{inv}(\rho^*(a) \cup \rho^*(\delta a)).$$

Finite Arithmetic Chern-Simons Functionals with Boundaries

$X_S = \operatorname{Spec}(\mathcal{O}_F[1/S])$ for a finite set S of places;

$$\partial X_S = \coprod_{v \in S} \operatorname{Spec}(F_v).$$

$$\pi_S := \pi_1(X_S), \quad \pi_v := \operatorname{Gal}(\bar{F}_v/F_v),$$

and fix a tuple of homomorphisms

$$i_S = (i_v : \pi_v \longrightarrow \pi_S)_{v \in S}$$

corresponding to embeddings $\bar{F} \hookrightarrow \bar{F}_v$.

Assume S contains all places dividing n .

Now $c \in Z^3(A, \mathbb{Z}/n)$ will denote a 3-cocycle.

Finite Arithmetic Chern-Simons Functionals with Boundaries

In addition to the global moduli space

$$M(X_S, A) := \mathrm{Hom}(\pi_S, A) // A$$

we have the local moduli space

$$M(\partial X_S, A) := \{\phi_S = (\phi_v)_{v \in S} \mid \phi_v : \pi_v \longrightarrow A\} // A.$$

Thus, we get a restriction map

$$r = i_S^* : M(X_S, A) \longrightarrow M(\partial X_S, A)$$

Finite Arithmetic Chern-Simons Functionals with Boundaries

More cohomological facts:

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$$H^i(\pi_v, \mathbb{Z}/n) = 0, \quad \forall i > 2;$$

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$$H^i(\pi_S, \mathbb{Z}/n) = 0, \quad \forall i > 2;$$

Reciprocity sequence:

$$0 \longrightarrow H^2(\pi_S, \mathbb{Z}/n) \longrightarrow \prod_{v \in S} H^2(\pi_v, \mathbb{Z}/n) \xrightarrow{\Sigma} \frac{1}{n}\mathbb{Z}/\mathbb{Z} \longrightarrow 0.$$

Finite Arithmetic Chern-Simons Functionals with Boundaries

For any $\phi_S = (\phi_v)_{v \in S}$, each $\phi_v^*(c) \in Z^3(\pi_v, \mathbb{Z}/n)$ is trivial.

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For any $\phi_S = (\phi_v)_{v \in S}$, each $\phi_v^*(c) \in Z^3(\pi_v, \mathbb{Z}/n)$ is trivial. Thus,

$$d^{-1}(\phi_v^*(c)) \in C^2(\pi_v, \mathbb{Z}/n)/B^2(\pi_v, \mathbb{Z}/n),$$

the solutions to

$$d\alpha_v = \phi_v^*(c),$$

form a torsor for

$$Z^2(\pi_v, \mathbb{Z}/n)/B^2(\pi_v, \mathbb{Z}/n) = H^2(\pi_v, \mathbb{Z}/n) \simeq \frac{1}{n}\mathbb{Z}/\mathbb{Z}.$$

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Finite Arithmetic Chern-Simons Functionals with Boundaries

We push this out using the sum map

$$\Sigma : \prod_v \frac{1}{n} \mathbb{Z} / \mathbb{Z} \longrightarrow \frac{1}{n} \mathbb{Z} / \mathbb{Z}$$

to get a $\frac{1}{n} \mathbb{Z} / \mathbb{Z}$ -torsor

$$\mathcal{T}(\phi_S) := \Sigma_* \left(\prod_v d^{-1}(\phi_v) \right).$$

As ϕ_S varies, we get a $\frac{1}{n} \mathbb{Z} / \mathbb{Z}$ -torsor

$$\mathcal{T} \longrightarrow M(\partial X_S, A)$$

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Can also exponentiate to get an S^1 -bundle $\exp(\mathcal{T})$.

Finite Arithmetic Chern-Simons Functionals with Boundaries

If $\rho \in M(X_S, A)$, we can solve

$$d\beta = \rho^*(c) \in Z^3(\pi_S, \mathbb{Z}/n),$$

and put

$$\mathbb{CS}(\rho) = \Sigma_*(r(\beta)) \in \mathcal{T}(r(\rho)).$$

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Lemma

$\mathbb{CS}(\rho)$ is independent of the choice of β .

This follows immediately from the reciprocity sequence

$$0 \longrightarrow H^2(\pi_S, \mathbb{Z}/n) \longrightarrow \prod_{v \in S} H^2(\pi_v, \mathbb{Z}/n) \xrightarrow{\Sigma} \frac{1}{n} \mathbb{Z}/\mathbb{Z} \longrightarrow 0.$$

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Thus, as ρ varies, we get a canonical section

$$\mathbb{CS} \in \Gamma(M(X_S, A), r^*(\mathcal{T})).$$

Finite Arithmetic Chern-Simons Functionals with Boundaries

In topological Chern-Simons theory, one takes an integral

$$\int_{\{\rho \mid (\rho|_{\partial X_S}) = \rho_S\}} \exp(2\pi i \mathbb{CS}(\rho)) d\rho$$

and then let ρ_S vary to get a section of

$$\exp(\mathcal{T}) \longrightarrow M(\partial X_S, A).$$

More precisely, from the view of topological quantum field theory, this is the state

$$\Psi(X_S) \in V(\partial X_S) := \Gamma(M(\partial X_S, A), \exp(\mathcal{T}))$$

on ∂X_S that the theory assigns to X_S .

At the moment, can define a finite-coefficient analogue of this construction.

Computing Chern-Simons: Decomposition Formula

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$$\mathbb{CS}(\rho \circ q_S) \in \mathcal{T}(r(\rho)).$$

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On the other hand, for each $v \in S$, we get a composed representation

$$\rho_v^{un} : \pi_v^{un} \longrightarrow \pi \xrightarrow{\rho} A,$$

where $\pi_v^{un} \simeq \text{Gal}(\bar{k}_v/k_v)$ is the unramified quotient of π_v .

Computing Chern-Simons: Decomposition Formula

By solving

$$d\beta_v = (\rho_v^{un})^*(c)$$

with

$$\beta_v \in C^2(\pi_v^{un}, \mathbb{Z}/n)/B^2(\pi_v^{un}, \mathbb{Z}/n) \longrightarrow C^2(\pi_v, \mathbb{Z}/n)/B^2(\pi_v, \mathbb{Z}/n)$$

for each v , we get another element

$$\sum_v (\beta_v) \in \mathcal{T}(r(\rho)).$$

This is independent of the choice of β_v because

$$H^2(\pi_v^{un}, \mathbb{Z}/n) = 0.$$

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Thus, we can take the difference

$$\mathbb{CS}(\rho \circ q_S) - \sum_v (\beta_v) \in \frac{1}{n} \mathbb{Z}/\mathbb{Z}$$

Computing Chern-Simons: Decomposition Formula

Theorem (w/ H. Chung, D. Kim, J. Park, and H. Yoo)

$$\mathbb{CS}(\rho) = \mathbb{CS}(\rho \circ q_S) - \sum_v (\beta_v).$$

This is an analogue of the *decomposition formula* in Chern-Simons theory, and gives us a way to compute the values.

Computing Chern-Simons: Decomposition Formula

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Key Point:

$\mathbb{CS}(\rho)$ is the difference between a global ramified trivialisation and a local unramified trivialisation.

Chern-Simons Invariant: Examples

[Joint work with H. Chung, D. Kim, J. Park, and H. Yoo]

$A = \mathbb{Z}/2$.

Let $p \equiv 1 \pmod{4}$ be a prime and $F_t = \mathbb{Q}(\sqrt{-pt})$, where t is a positive square-free integer prime to p . Then $F_t(\sqrt{p})/F_t$ is unramified, giving us a character

$$\rho_t : \pi_1(\mathcal{O}_{F_t}) \longrightarrow \mathbb{Z}/2.$$

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$$\rho_t : \pi_1(\mathcal{O}_{F_t}) \longrightarrow \mathbb{Z}/2.$$

Then

Proposition

$$\mathbb{CS}_{a \cup \delta a}(\rho_t) = 1/2 \Leftrightarrow \left(\frac{t}{p}\right) = -1.$$

Corollary

If $\left(\frac{t}{p}\right) = -1$, then $F_t(\sqrt{p})/F$ does not embed in an $\mathbb{Z}/4$ unramified extension of F_t .

Arithmetic linking numbers

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$X = \operatorname{Spec}(\mathcal{O}_F)$, where F is a totally complex number field with a fixed trivialisation $\mathbb{Z}/n \simeq \mu_n \subset F$.

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The differential here is obtained as a composition of the Bockstein map

$$\delta : H^1(X, \mathbb{Z}/n) \longrightarrow H^2(X, \mathbb{Z}/n)$$

and a map

$$H^2(X, \mathbb{Z}/n) \longrightarrow \operatorname{Ext}_X^2(\mathbb{Z}/n, \mathbb{G}_m)$$

induced by cup product and duality.

Arithmetic linking numbers

That is, recall the perfect (Artin-Verdier) duality pairing

$$\langle \cdot, \cdot \rangle : H^1(X, \mathbb{Z}/n) \times \mathrm{Ext}_X^2(\mathbb{Z}/n, \mathbb{G}_m) \longrightarrow \frac{1}{n}\mathbb{Z}/\mathbb{Z}$$

and the cup product pairing

$$\cup : H^1(X, \mathbb{Z}/n) \times H^2(X, \mathbb{Z}/n) \longrightarrow H^3(X, \mathbb{Z}/n) \simeq \frac{1}{n}\mathbb{Z}/\mathbb{Z}$$

inducing a map

$$r : H^2(X, \mathbb{Z}/n) \longrightarrow \mathrm{Ext}_X^2(\mathbb{Z}/n, \mathbb{G}_m),$$

which we use to define $d := r \circ \delta$.

Arithmetic linking numbers

Remarks:

–Bockstein map as a differential is partly inspired by one of the constructions of the De Rham-Witt complex.

–Examining the diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathbb{Z}/n & \longrightarrow & \mu_{n^2} & \longrightarrow & \mathbb{Z}/n & \longrightarrow & 0 \\ & & \downarrow \simeq & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \mu_n & \longrightarrow & \mathbb{G}_m & \xrightarrow{(\cdot)^n} & \mathbb{G}_m & \longrightarrow & 0 \end{array}$$

we see that the Bockstein map is also induced by the Chern class map for \mathbb{G}_m -torsors.

Arithmetic linking numbers

Given an ideal I , we can define its class

$$\begin{aligned}[I]_n &\in \mathrm{Ext}_X^2(\mathbb{Z}/n, \mathbb{G}_m) \simeq \mathrm{Hom}(H^1(M, \mathbb{Z}/n), \frac{1}{n}\mathbb{Z}/\mathbb{Z}) \\ &\simeq Cl(\mathcal{O}_F)/n.\end{aligned}$$

Say I is n -homologically trivial, if $[I]_n$ is in the image of

$$d : H^1(X, \mathbb{Z}/n) \longrightarrow \mathrm{Ext}_X^2(\mathbb{Z}/n, \mathbb{G}_m).$$

Arithmetic linking numbers

If I and J are n -homologically trivial, then we define their mod n height pairing or linking number by

$$h_n(I, J) := \langle d^{-1}[I]_n, [J]_n \rangle.$$

Lemma

The pairing is well-defined, and

$$h_n(I, J) = h_n(J, I).$$

Arithmetic linking numbers

Proposition

Let I, J be ideals in \mathcal{O}_F supported on X_S that are n -torsion in the Picard group of X_S . Let $f \in F^$ such that $\operatorname{div}(f|_{X_S}) = I^n$.*

Let T be the support of J , π_v be a uniformiser at v , and $e_v = \operatorname{ord}_v(J)$.

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Let T be the support of J , π_v be a uniformiser at v , and $e_v = \text{ord}_v(J)$.

Then

$$ht_n(I, J) = \sum_{v \in T} (f_v, \pi_v^{e_v})_n,$$

where the bracket denotes the n -th power residue symbol.

Arithmetic linking numbers

Can define also the mod n abelian Chern-Simons invariant of X :

$$Z(X, n) := \sum_{\rho \in H^1(X, \mathbb{Z}/n)} \exp(2\pi i \text{CS}(\rho)).$$

Also with a linear term:

$$\sum_{\rho \in H^1(X, \mathbb{Z}/n)} \exp[2\pi i (\text{CS}(\rho) + \sum_j \langle \rho, [\xi_j]_n \rangle)]$$

for a finite set $\{\xi_j\}$ of homologically trivial ideals.

Arithmetic linking numbers

Let $n = p$, a prime. Let $a = \dim H^1(X, \mathbb{Z}/p)$ and $b = \dim \text{Ker}(d)$. Denote by \bar{d} the induced isomorphism

$$\bar{d} : H^1(X, \mathbb{Z}/p)/K \simeq \text{Im}(d).$$

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Then

$$\begin{aligned} & \sum_{\rho \in H^1(X, \mathbb{Z}/p)} \exp[2\pi i(\text{CS}(\rho) + \sum_j \langle \rho, [\xi_j]_p \rangle)] \\ &= p^{(a+b)/2} \left(\frac{\det(\bar{d})}{p} \right) i^{[(a-b)(p-1)^2/4]} \exp[-2\pi i(\frac{1}{4} \sum_{i,j} ht_p(\xi_i, \xi_j))] \end{aligned}$$